

1 | **Experimental model of tuberculosis in the domestic goat after**
2 | **endobronchial infection with *Mycobacterium caprae***

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18 **Running title:** Experimental model of *M. caprae* infection in goats

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33 **ABSTRACT**

34 Caprine tuberculosis has increased in recent years, highlighting the need to address the
35 problem this infection poses in goats. Moreover, goats may represent a cheaper
36 alternative for testing of prototype vaccines in large ruminants and humans. With this
37 aim, a *Mycobacterium caprae* infection model has been developed in goats. Eleven 6-
38 month old-goats were infected by the endobronchial route with 1.5×10^3 cfu, and two
39 other goats were kept as non-infected controls. Animals were monitored for clinical and
40 immunological parameters throughout the experiment. After 14 weeks, goats were
41 euthanized and detailed post-mortem analysis of lungs lesions performed by Multi-
42 Detector Computed Tomography (MDCT) and direct observation. Respiratory lymph
43 nodes were also evaluated and cultured for bacteriological analysis.

44 All infected animals were positive to single intradermal comparative cervical tuberculin
45 (SICCT) test at 12 weeks post-infection (wpi). IFN- γ antigen-specific responses were
46 detected from 4 wpi until the end of the experiment. Humoral response to MPB83 was
47 especially strong at 14 wpi (13 days after SICCT-boost). All infected animals presented
48 severe TB lesions in lung and associated lymph nodes. *M. caprae* was recovered from
49 pulmonary lymph nodes in all inoculated goats. MDCT allowed a precise quantitative
50 measure of TB lesions. Lesions in goats induced by *M. caprae* appeared to be more
51 severe than those induced in cattle by *M. bovis* over a similar period of time. The
52 present work poses a reliable new experimental animal model for a better understanding
53 of caprine tuberculosis and future development of vaccine trials in this and other
54 species.

55

56 INTRODUCTION

57 Tuberculosis (TB) in the domestic goat (*Capra hircus*), mainly caused by
58 *Mycobacterium caprae* (1), is an endemic disease in the Iberian Peninsula. *M. caprae* is
59 widespread in goat herds and is an emerging infectious agent in cattle (15, 33). Infected
60 goat herds can constitute a reservoir of TB-inducing mycobacteria in the field, posing a
61 risk of infection to cattle and wildlife (17, 33). Furthermore, caprine TB not only may
62 hamper the eradication campaigns of bovine TB in affected areas, but may be also
63 responsible for cases of TB in humans (11, 21, 30, 32).

64 In the last decade, interest on vaccines against bovine TB has been renewed, as a tool
65 for controlling infection in cattle and in wildlife (5) in areas where eradication by the
66 test and slaughter scheme alone is not considered feasible. Moreover, ruminant and
67 porcine models of TB may be useful for screening prototype vaccines for humans, due
68 to their similar lesional pattern and immunological responses to mycobacteria (7, 14,
69 18). Standardization of the goat as a model of TB would improve our understanding of
70 TB in this species, which in turn could help develop new strategies to combat this
71 disease in goat flocks. Similarly, it could be used as an animal model for TB vaccine
72 development in humans.

73 Caprine and bovine TB are closely related in regards to the immune response and
74 pathological characteristics. In natural infections, such as in cattle, TB in goats is
75 primarily a lower respiratory tract disease, with lesions in the lungs and associated
76 lymph nodes. Occasionally tuberculous lesions may also be found in the upper
77 respiratory tract lymph nodes and other organs like spleen, liver or mesenteric lymph
78 nodes (12, 31). Histologically, lesions are similar to those observed in cattle and
79 humans. Typical tuberculous granulomatous necrotizing lesions are observed,

80 characterized by central caseous necrosis often with some mineralization, surrounded by
81 macrophages, foamy macrophages, numerous giant cells, lymphocytes and a fibrotic
82 capsule. Acid-fast bacilli are usually present inside the caseous necrosis, but in very low
83 number (11).

84 Several TB diagnostic tests currently available for use in cattle, such as the tuberculin
85 skin test or the interferon-gamma (IFN- γ) assay, can be also applied with minor
86 modifications for diagnosis of TB in goats (19, 22). Refinement of specificity of these
87 tests has been achieved in recent years for their use in humans, based on the detection in
88 peripheral blood of effector T-cells reacting against antigens secreted by active growing
89 bacilli, such ESAT-6 and CFP-10, which are not induced by BCG vaccination (27). As
90 it has been observed previously in cattle (37), we have recently shown that an IFN- γ -
91 ESAT-6 specific response also occurs in goats naturally infected with *M. caprae*, which
92 is positively correlated with the severity of the pathological changes (14). A peptide
93 cocktail containing ESAT-6 and CFP-10 has been also successfully used for diagnosis
94 of TB in naturally infected goats (2). In cattle, it has been shown that the route of
95 challenge can have a significant influence on infection outcome (29). The endobronchial
96 route of inoculation has been used successfully in several experimental models of TB
97 infection in cattle (13), brushtail possums (4) or European badgers (10) for its capacity
98 to mimic the natural infection. In adult goats, an infection model of transthoracic
99 inoculation of *M. caprae* has been previously described (3), demonstrating the potential
100 of this species as a research model for TB.

101 Qualitative or semiquantitative scoring systems of gross lesions have been used to
102 assess efficacy of vaccines, based on lesion distribution and extension. Improvement in
103 this scoring system into a more precise quantitative system would be of benefit to allow
104 better comparison between treatment groups and experiments. Recently, magnetic

105 resonance imaging (MRI) has been used in to measure disease burden in macaques
106 experimentally infected with *M. tuberculosis* (34, 35), with promising results. The aim
107 of the present work was to reproduce experimentally TB infection in young goats by
108 inoculation with *M. caprae* through the endobronchial route, to characterize the immune
109 response, and to standardize methods for quantifying pathological changes in target
110 tissues, including the assessment of Multi-Detector Computed Tomography (MDCT) to
111 measure the magnitude of lesions in pulmonary tuberculosis. To our knowledge, this is
112 the first study aimed at comprehensively characterising the effect on endobronchial
113 infection of goats with *M. caprae*.

114

115 **MATERIALS AND METHODS**

116 **Experimental animals**

117 Thirteen Murciano-Granadina female 6 months-old goats obtained from an officially
118 TB-free herd were used. Goats were negative to the single intradermal comparative
119 cervical tuberculin (SICCT) test and the IFN- γ assay (BovigamTM, Prionics, Schlieren,
120 Switzerland) as well as seronegative for paratuberculosis (Paratub.Serum-STM, Institut
121 Pourquier, Montpellier, France). The herd was not vaccinated against Paratuberculosis.

122 Eleven goats were housed in appropriate containment accommodation for a week, prior
123 to infection with *M. caprae*. Two additional goats were kept uninfected in an outdoor
124 box throughout the experiment. All experimental procedures with animals were in
125 agreement with the European Union Laws for protection of experimental animals and
126 were approved by the Animal Welfare Committees of the *Universitat Autònoma de*
127 *Barcelona* and the *Generalitat de Catalunya*.

128

129 ***Mycobacterium caprae* cultures and experimental infection**

130 The *M. caprae* SB0416 (www.mbovis.org) field strain used as inoculum was originally
131 isolated from a tuberculous goat from Catalonia. The isolate was subcultured in
132 Middlebrook 7H11 solid media (BD Diagnostics, Spark, USA) and bacteria were
133 resuspended in Brain Heart Infusion broth with 20% of glycerol at a concentration of 2
134 x 10⁶ colony forming units (cfu)/ml (calculated by plating dilutions on Middlebrook
135 7H11 media). The suspension was stored at -80 °C in 0.5 ml aliquots. The inoculum was
136 prepared to the required final concentration by diluting the suspension with sterile
137 phosphate buffered saline (PBS).

138 For infection, goats were pre-anaesthetized with 0.05 mg/kg of acepromacin (Calmo
139 Neosan®) and 0.2 mg/kg of butorphanol tartrate (Torbugesic®) co-administered by
140 intramuscular injection; after 30 minutes a catheter was placed into the left cephalic
141 vein and 4-6 mg/kg of propofol (Propofol Lipuro®) and 0.2 mg/kg of midazolam
142 (Dormicum®) were both administered intravenously. Goats were then intubated with an
143 endotracheal tube and were placed in right lateral decubitus. A plastic cannula (3.3 mm
144 outer diameter) was passed through the endotracheal tube to the level of the carina. For
145 inoculation a thinner cannula (2.1 mm outer diameter) was passed through the thicker
146 one to a bronchus, and then 0.5 ml of *M. caprae* inoculum was injected into the inner
147 cannula, followed by flushing with 5 ml of 0.9%-saline. The inoculum was titrated after
148 the inoculation in duplicate by ten-fold serial dilution in Middlebrook 7H11 solid
149 media; accordingly, each goat received 1.5 x 10³cfu of *M. caprae*. The animals
150 recovered from anaesthesia in sternal decubitus.

151 **Clinical signs and sampling**

152 Before and during the experimental infection goats were observed for clinical signs.
153 Rectal temperature was measured weekly and weight every two weeks. Blood samples
154 were collected every two weeks from the jugular vein in heparinized blood tubes for
155 immunological studies and isolation of mycobacteria. Also, two nasal swabs were
156 collected from each animal at the same time points, one was decontaminated and
157 subsequently cultured and the other one was submerged in ultrapure water 1 hour at 75
158 °C for mycobacteria inactivation and stored at -80 °C until a specific *M. tuberculosis*
159 complex (MTC)-PCR assay was performed.

160 **Antigens and peptides**

161 Bovine (PPD-B) and Avian (PPD-A) tuberculins were obtained from CZ Veterinaria
162 (Porriño, Galicia, Spain). ESAT-6/CFP-10 and Rv3615c peptide cocktails synthesized
163 as described earlier (36, 38) were received from Dr. H.M. Vordermeier, Veterinary
164 Laboratories Agency (Weybridge, UK). Recombinant MPB83 was obtained from
165 Lionex (Braunschweig, Germany). Phytohemagglutinin (PHA) (Sigma-Aldrich,
166 Steinheim, Germany) was used as a positive control.

167 **Skin Test**

168 SICCT test was performed in all goats at 12 weeks post-infection (wpi) (2 weeks before
169 sacrifice) by inoculating 0.1 ml of both PPD-B and PPD-A on the left and the right side
170 of the neck respectively. The preinoculation skin-fold thickness was recorded before
171 PPD injection, and the skin-fold thickness was measured again after 72h. The goats
172 were considered positive if the increase of skin-fold thickness after PPD-B application
173 was higher than 2 mm and higher than the increase after PPD-A application.

174 **Whole-blood IFN- γ assay**

175 Blood samples were collected at the time points described above, preserved at room
176 temperature and processed in less than two hours after collection. One ml of whole
177 blood was stimulated in 96-well cell culture plates with PPD-A, PPD-B and PHA at a
178 final concentration of 10 $\mu\text{g/ml}$, or with peptide cocktails, each one at a final
179 concentration of 5 $\mu\text{g/ml}$. PBS was used as non-stimulated control. Plasma supernatants
180 were collected after 24h of culture at 37°C and 5% CO_2 and were stored at -20°C, and
181 thawed just before performing the Bovigam IFN- γ enzyme-linked immunosorbent assay
182 (ELISA) according to the manufacturer's instructions. ELISA results are reported as
183 OD_{450} . Specific reaction was expressed as ΔOD_{450} (OD_{450} of antigen-stimulated sample
184 minus OD_{450} of non-stimulated control). A sample was classified as positive when the
185 PPD-B ΔOD_{450} was higher than 0.05 and OD_{450} of PPD-B was higher than OD_{450} of
186 PPD-A, according to the manufacturer's interpretation.

187 **Serology**

188 Plasma samples of all animals were analyzed in duplicate for antibodies to mycobacteria
189 using ELISA, as described previously (18) with minor modifications. The 96-well plates
190 were coated with PPD-B (2 $\mu\text{g/ml}$) or MPB83 (1 $\mu\text{g/ml}$) diluted in
191 carbonate/bicarbonate buffer and incubated overnight at 4°C. After blockade of 45 min.
192 at 37°C with PBS containing 0.05% Tween 20 (PBS-T20) with 0.5% casein, plasma
193 samples (at 1/200 dilution in PBS-T20 with 1% casein) were added in duplicate and
194 incubated 1h at 37°C. After washing, a combination of Protein A and Protein G
195 conjugated with peroxidase (Sigma-Aldrich, Steinheim, Germany) was added at a final
196 concentration of 50 ng/ml and 100 ng/ml respectively. Plates were then read in a
197 spectrophotometer and ΔOD_{450} was calculated as sample OD_{450} minus background
198 OD_{450} (unspecific absorbance in wells where antigen had not been added). A sample

199 was classified as positive when the ΔOD_{450} was higher than the cut-off point, calculated
200 as the mean of background $OD_{450} + 3SD$.

201 **Post-mortem examination**

202 All goats were euthanized at 14 wpi by intravenous injection sodium pentobarbital and
203 carefully examined in order to evaluate the extension of tuberculous lesions in lungs and
204 respiratory lymph nodes (LN).

205 *A. Lungs*

206 Lung gross lesions were recorded first by palpation and external observation of the
207 different lobes. LN were removed for bacteriological investigation, taking special care
208 of not incising the pleural surface. The heart and pericardium were removed, and then,
209 whole lungs were fixed with 10%-buffered formalin by pouring the fixative into the
210 trachea while holding the lungs in a vertical position, until the trachea was filled up with
211 fixative. After that, the trachea was tied, and formalin-flooded whole lungs were
212 immersed into a container with formalin as previously described (18), for two months.
213 After complete fixation, lungs were scanned by using a high-resolution 64-slice Multi-
214 Detector Computed Tomography (MDCT) scanner (Brilliance CT 64-channel, Philips
215 Medical Systems, Cleveland, Ohio, USA). MDCT data were analyzed and post-
216 processed on a workstation (Aquarius Station, TaraRecon, Foster City, California,
217 USA). Tuberculous lesions were defined as the following 4 lesion-types in respect to
218 their density patterns: calcified lesions, cavitory lesions, solid lesions and complex
219 lesions. The total pulmonary volume and volume of lesions were measured.

220 MDCT quantification of lesions was compared with conventional visual inspection with
221 the aid of image analysis software. For that purpose, lungs were sliced at 4-5 mm width
222 intervals. Each slice was photographed and gross lesions were subsequently quantified

223 in pictures with the aid of an image analyzer (ImageJ 1.43u, National Institutes of
224 Health, USA). Approximate volume of granulomas was calculated for each slice (area
225 of lesion \times slice thickness). Total volume of granulomas of each lobe was calculated
226 adding slice-partial volumes. Data obtained by applying both the MDCT and the visual
227 direct scoring were compared in order to evaluate the correspondence between the two
228 methods. Representative sections of gross lesions were also processed for
229 histopathological examination (haematoxylin-eosin staining and Ziehl–Neelsen staining
230 for acid-fast bacilli) to confirm the tuberculous nature of the lesions.

231 *B. Lymph nodes (LN)*

232 The number and diameter of the macroscopic lesions were recorded in cranial
233 mediastinal LN, tracheobronchial LN, caudal mediastinal LN as well as both right and
234 left retropharyngeal LN. The approximate volumes of gross lesions were calculated as
235 $\frac{4}{3} \times \pi \times r^3$ assuming that most lesions showed fairly spherical morphology. The same
236 pathologist performed all evaluations in order to ensure homogeneous application of the
237 scoring criteria. After pathological measures each LN was processed entirely for
238 bacterial enumeration.

239 **Culture of *M. caprae***

240 *A. Lymph nodes*

241 To calculate the bacterial load (cfu/g) of each LN, the weight was recorded before
242 homogenization. Then, the LN were mechanically sliced using dissection scissors and
243 automatically homogenized in 10 ml of sterile distilled water in a Masticator (IUL
244 Instruments, Barcelona, Catalonia, Spain). The homogenate was decontaminated with a
245 final concentration of 0.35% w/v hexadecylpyridinium chloride (HPC) (9) for 15 min.
246 in orbital shaking after which it was centrifuged at $2471 \times g$ for 30 min. The supernatant

247 was discarded and the pellet was resuspended in 10 ml of PBS containing 0.05% Tween
248 80. Viable bacterial enumeration was determined by plating 0.1 ml of ten-fold serial
249 dilutions of LN homogenates on Middlebrook 7H11 agar and incubated at 37 °C for 28
250 days.

251 *B. Peripheral blood*

252 Whole blood (5 ml) from each goat was inoculated to BacT/ALERT MB flasks
253 (Biomérieux España, Madrid, Spain) at the time points described above and incubated
254 for 30 days before being considered negative, as recommended by the manufacturer.

255 *C. Nasal swabs*

256 Nasal swabs were decontaminated for 30 min. with 0.35% w/v HPC and subsequently
257 cultured on Coletsos and pyruvate-enriched Löwenstein-Jensen media (Biomérieux
258 España, Madrid, Spain); cultures were incubated for 60 days before being considered
259 negative.

260 **DNA amplification**

261 The DNA from inactivated samples from nasal swabs was extracted using DNA
262 purification kit (Promega Biotech Iberica, Madrid, Spain). A seminested-PCR was run
263 under standard conditions. Two consecutive PCR reactions were performed using
264 oligonucleotide primers described previously (IS-F: 5'-
265 CCTGCGAGCGTAGGCGTCGG-3', IS-R1: 5'-TCAGCCGCGTCCACGCCGCCA-3')
266 (28) adding another reverse primer for the second reaction (IS-R2: 5'-
267 CTCGTCCAGCGCCGCTTCGG-3') (16). These primers are specific for the MTC-
268 IS6110 insertion sequence.

269 **Data analysis**

270 Differences in the mean rectal temperature between weekly measures were compared by
271 employing analysis of variance (ANOVA) with Student-Newman-Keuls multiple
272 comparison test and are reported with the 95% confidence interval (C.I.). Comparison
273 of bacterial loads (\log_{10} cfu/g) between pulmonary LN, as well as comparison of IgG or
274 IFN- γ ELISA absorbance values (ΔOD_{450}) between antigens within the infected group,
275 were analyzed by non-parametric Friedman test with the post-hoc Mann-Whitney-
276 Wilcoxon test. Correlation between MDCT and direct visual measure of macroscopic
277 lesions was performed by non-parametric Spearman rank test. Immune responses and
278 \log_{10} -transformed pathological and bacteriological data were compared by applying
279 linear regression, or by the non-parametric Spearman rank test depending on whether
280 experimental units passed the Shapiro-Wilk normality test. Analysis of the data was
281 performed using SPSS statistical package version 17.0.

282

283 **RESULTS**

284 **Clinical observations**

285 Few clinical signs were observed in goats infected with *M. caprae* throughout the
286 experiment. A significant increase in mean rectal temperature was detected at 4 wpi
287 (39.6 °C, 39.5-39.7, 95% C.I.) compared with the rest of time points (mean of 39 °C,
288 39.0-39.1, 95% C.I.) ($p < 0.05$). Coughing was observed in 3 out of 11 (27 %) infected
289 goats at six wpi; the majority of goats (9/11) showed coughing at the end of experiment
290 (14 wpi). One goat also showed tachypnea.

291 **Immunological response**

292 The immunological response to infection with *M. caprae* was characterized using both
293 cell-mediated and humoral immunological tests. Goats were subjected to the SICCT test

294 at 12 wpi. The mean increase of skin-fold thickness 72h after PPD-B and PPD-A
295 application were 21.3 mm (19.4-23.2, 95% C.I.) and 10.9 mm (9-12.7, 95% C.I.)
296 respectively. All infected goats were positive to PPD-B according to the official
297 interpretation criterion described above.

298 Cell-mediated immunity (CMI) was measured throughout the course of the experiment
299 by the release of IFN- γ from whole blood stimulated with PPD-B, PPD-A, ESAT-
300 6/CFP-10 or Rv3615c (see Fig. 1). According to the standard interpretation of the
301 Bovigam assay (considering the PPD-B stimulated well), all infected goats were
302 negative from the day of infection to the 2nd wpi, but all goats became positive at 4 wpi
303 (individual data not shown) and remained positive throughout the experiment with the
304 exception of two goats which were negative at 10 wpi (and turned to be again positive
305 at 12 and 14 wpi). Peak mean value of PPD-B stimulation was reached at 8 wpi, and
306 from that point onwards, a progressive decrease on the mean PPD-B absorbance was
307 observed until the end of the experiment (Fig. 1A). Uninfected goats remained negative
308 through the experiment (individual data not shown). Production of IFN- γ in blood
309 cultures in response to stimulation with PPD-A was also first detectable at 4 wpi and it
310 was maintained until 14 wpi, however the mean ΔOD_{450} was significantly lower to that
311 observed in cultures stimulated with PPD-B at 4 wpi ($p < 0.005$) (Fig. 1A). As expected,
312 no avian reactors (Bovigam readout) were observed at any time point.

313 The release of IFN- γ to both ESAT-6/CFP-10 and Rv3615c peptide cocktails followed
314 similar kinetics but was also significantly weaker than to PPD-B at 10 wpi and 14 wpi
315 ($p < 0.05$) (Fig. 1B). If the standard cut-off point for positivity of the Bovigam assay is
316 used for these antigens (OD_{450} of stimulated sample – OD_{450} of unstimulated control $>$
317 0.05), all goats reacted positive from the 4 wpi onward, with the exception of the same
318 two goats which were negative for PPD-B at 10 wpi, that resulted also negative at the

319 same time point using both peptide cocktails. Moreover, one of these goats was also
320 negative at 14 wpi and, in addition, another goat was negative at 14 wpi using Rv3615c
321 (individual data not shown). Therefore, considering the three time points analyzed
322 between 6-14 wpi 29/33 samples from infected goats were positive for the two peptide
323 cocktails (sensitivity of 88 %), whereas 31/33 samples were positive for PPD-B at the
324 same time points (sensitivity of 94 %). The two uninfected goats remained negative to
325 all IFN- γ tests during the trial (data not shown).

326 To analyze the IgG response to infection with *M. caprae*, plasma samples of all goats
327 were tested by ELISA every two weeks after infection, in plates coated with PPD-B or
328 the mycobacterial antigen MPB83. All goats were seronegative to both antigens before
329 *M. caprae* infection, and uninfected goats remained seronegative through the
330 experiment. After infection, goats remained seronegative to PPD-B at all time points
331 before the tuberculin-boost at 12 wpi, but all seroconverted at 14 wpi (at 13 days after
332 SICCT test) (individual data not shown). By contrast, seropositivity to MBPB83 after
333 infection appeared earlier in some animals, but it was weak and inconstant, with a total
334 of 7/11 goats positive at 8, 10 or 12 wpi (Table 1). All infected goats showed strong
335 responses to MBPB83 after SICCT test, indeed pronounced differences of mean ΔOD_{450}
336 were found between both antigens used, with much higher IgG responses to MBPB83
337 than to PPD-B ($p < 0.005$) (Fig. 2).

338 Pathology

339 The pathological findings were mainly restricted to thoracic cavity. All the infected
340 goats showed granulomatous caseous-necrotizing lesions in the lungs and in lung-
341 associated lymph nodes. With few exceptions, the majority of lung lesions were located
342 at right lobes, being the right diaphragmatic lobe affected in most goats. Seven out of
343 eleven goats showed well-developed cavitory lesions. MDCT scan technology allowed a

344 3-D representation of the lungs, and a cross-sectional visualization and analysis of
345 lesions (Fig. 3). The comparison of the volume of granulomatous-necrotizing lesions in
346 the lungs measured by MDCT and by image analysis of photographs of lung sections
347 (direct observation) is shown in Table 2. By MDCT, volume of TB lesions for each goat
348 ranged from 18.8 to 182 cm³, with a mean of 60 cm³. The MDCT allowed calculation of
349 percentage of lung volume occupied by TB lesions (Table 2), which ranged from 1.1 to
350 14.3% (mean value of 5.3%). The extension of lesions in the lung was also measured by
351 recording number of affected lung lobes in each infected goat (visual inspection), and
352 these values are also shown in Table 2.

353 Significant positive correlation was observed between volume values obtained by
354 MDCT and by direct observation (Spearman rho = 0.955, $p < 0.001$) (Fig. 4) although
355 volume values were higher for MDCT data (see Table 2).

356 Pulmonary LN involvement was also extensive. All infected animals presented gross
357 lesions in caudal mediastinal LN, whereas 10/11 and 8/11 goats presented lesions in
358 tracheobronchial and cranial mediastinal LN respectively. Also, two animals showed
359 lesions in retropharyngeal LN. TB lesions in mesenteric LN were also recorded in other
360 two animals, one of them also showing TB lesions in spleen. In total, 4/11 goats showed
361 extrapulmonary TB-lesions. The volumes of gross lesions in LN as well as the number
362 of affected LN in each goat are shown in Table 3.

363 **Bacteriology**

364 *M. caprae* was isolated from post-mortem tissue samples from all inoculated animals,
365 but it was not detected by PCR nor mycobacterial isolation from any of the nasal swabs
366 or blood samples taken during the experiment.

367 Mycobacteria were recovered from caudal mediastinal LN in all goats, from
368 tracheobronchial LN of 10/11 goats, and from cranial mediastinal LN of 7/11 goats. In

369 contrast, mycobacteria were isolated from retropharyngeal LN only in two goats. *M.*
370 *caprae* was also isolated in all TB lesions observed in extra-respiratory organs. The
371 bacterial load of *M. caprae* per gram of cultured pulmonary LN tissues and the total
372 bacterial load of each LN are also shown in Table 3.

373 The mean bacterial load (\log_{10} cfu/g) in the cultured pulmonary LN was $3.5 \log_{10}$ cfu/g
374 (3.3-3.8, 95% C.I.), with a range between animals of 2.8 to $4.1 \log_{10}$ cfu/g. Some
375 differences were also found among bacterial load of each pulmonary LN. In caudal
376 mediastinal LN bacterial load was of $3.3 \log_{10}$ cfu/g (3-3.6, 95% C.I.), significantly
377 higher than in cranial mediastinal LN ($2 \log_{10}$ cfu/g, 1-2.9, 95% C.I.) ($p < 0.05$) and in
378 tracheobronchial LN ($2.4 \log_{10}$ cfu/g, 1.9-2.9, 95% C.I.) ($p < 0.01$). When the total
379 bacterial count of respiratory LN was considered, this value ranged from 3.8 to $5.3 \log_{10}$
380 cfu, with a mean value of 4.6 (4.3-4.8, 95% C.I.). Also, whole bacterial load was higher
381 for the caudal mediastinal LN than for other LN (Table 3).

382 **Cross-sectional analysis**

383 Association between cellular and humoral immune responses, pathology and
384 bacteriology were evaluated transversally combining data obtained from all
385 experimental goats ($n = 13$). In LN, a positive correlation was found between pathology
386 (volume of lesions as \log_{10} mm³) and bacterial load (\log_{10} cfu/g) (Pearson $r = 0.858$, $p <$
387 0.001). Positive correlations were also found between bacterial load in LN and IFN- γ
388 specific responses to PPD-B (Pearson $r = 0.528$, $p = 0.032$) and to ESAT-6/CFP-10
389 (Pearson $r = 0.579$, $p = 0.019$), but not to Rv3615c (Spearman $\rho = 0.296$, $p = 0.163$),
390 at 14 wpi. However, only IFN- γ responses to PPD-B at 14 wpi were correlated
391 significantly with volume of gross lesions in lungs determined by MDCT (Pearson $r =$
392 0.540 , $p = 0.028$).

393 Humoral immune responses to MPB83 at 14 wpi correlated positively with both
394 bacterial load in LN (Pearson $r = 0.775$, $p = 0.001$) and volume of gross lesions in lungs
395 determined by MDCT (Pearson $r = 0.685$, $p = 0.007$), whereas IgG responses to PPD-B
396 did not correlate significantly with bacterial load (Spearman $\rho = 0.322$, $p = 0.141$) and
397 were slightly positively correlated with volume of gross lesions in lungs (Spearman ρ
398 $= 0.481$, $p = 0.048$).

399

400 **DISCUSSION**

401 Recent interest on development of TB vaccines in domestic ruminants and wildlife, as
402 badgers and wild boar, has driven research to standardize infection models in domestic
403 animals like ruminants (7) and pigs (18). Modelling TB in goats may be of great value
404 to increase our knowledge of infection in this species, and at the same time the model
405 can be used for research of TB in cattle. With these aims we have established an
406 efficient experimental goat model of TB, with slight clinical signs (coughing at the end
407 of the experiment) and a relatively fast progression of lesions, very similar to natural
408 disease. Gross TB lesions were reproduced in all the infected goats, which is an
409 advantage over the previous existing model in adult goats (3). It is well known from
410 experiments in calves and other models that the route and dose of challenge can be very
411 relevant for the pathological outcome of infection (see (29) for a review). A high
412 challenge dose (higher than 10^6 cfu), by non-natural routes (as intravenous or
413 subcutaneous) may lead to systemic dissemination of infection with lesions that are not
414 representative of natural field cases (40). Using a relative low challenge dose of $1.5 \times$
415 10^3 cfu by the endobronchial route we have been able to reproduce typical
416 granulomatous caseous-necrotizing lesions in lung and lung-associated LN in 11 out of
417 11 experimentally infected goats, resembling those observed in naturally-infected goats

418 (12, 31), and as seen sometimes in natural cases, the majority of our goats had
419 liquefactive necrosis and cavernous lung lesions, which is a feature of tuberculosis in
420 humans. In a previous study in goats experimentally infected with *M. caprae* (3), adult
421 goats were infected transthoracically with $2-3 \times 10^3$ cfu, achieving infection in all 6
422 infected goats (as demonstrated by mycobacterial culture), but with absence of
423 macroscopic lesions in lung parenchyma in two goats, in spite of a much longer
424 duration of the infection (nine months). This difference could be due to the use of 6-
425 month-old goats in our study compared to adult animals, and is clearly an advantage
426 over a model with adult goats. Extension of the infection with production of gross
427 lesions in extrapulmonary sites is often included in scoring systems to assess vaccine
428 efficacy, and therefore, an inoculation route that conveys the challenge dose to a
429 circumscribed area, mimicking natural infection, should be preferred to models that
430 disperse mycobacteria into different systems or mucosal surfaces. In this respect,
431 transthoracic inoculation drops inoculated mycobacteria directly in the lung
432 parenchyma, but may cause also pleuritis (according to our own personal observation),
433 and local infection of the thoracic wall at inoculation point, with mycobacteria draining
434 to regional LN like the axillary nodes, thus complicating the assessment of
435 extrapulmonary dissemination. In our study four animals had extension of the infection
436 from thoracic primary focus to extrapulmonary tissues, like medial retropharyngeal or
437 mesenteric lymph nodes, and to the spleen (one case, indicative of systemic circulation
438 of mycobacteria). Probably, pulmonary lesions allow the dissemination to upper
439 respiratory/head and mesenteric lymph nodes, by mycobacterial shedding in
440 tracheobronchial secretion and its subsequent ingestion. Similarly to results in calves
441 inoculated with a dose of 10^4 cfu of *M. bovis* (26) nasal shedding of *M. caprae* was not
442 detected in our study, showing that, if nasal shedding occurs, it should happen at a very

443 low load, or intermittently. As expected, blood culture was also negative through the
444 whole experiment in all goats, indicating that bacteremia is not a feature of TB in goats,
445 at least in the early phase of the infection.

446 The data obtained here strengthen the hypothesis that young goats seem to be highly
447 susceptible to infection by *M. caprae*. Pathological and bacteriological findings point to
448 a fast progression of lesions, which reached relatively large size in some animals (more
449 than 5% of lung affected). In natural cases of TB, such large lesions with liquefactive
450 necrosis and caverna formation are usually associated to a long period of lesion
451 progression in herds not subjected to eradication (12, 14, 31). In contrast, in trials
452 carried out in other species like badgers (10) and calves (39) using the endobronchial
453 route of infection, lesions progressed slowly, resembling what is observed in naturally
454 cases of TB in these species. Particularly, in the low challenge dose experiments in
455 calves, big coalescent lesions were not usually found, in contrast our model appears to
456 be faster in the progression of lesions, which can be considered an advantage.

457 Assessment of vaccine efficacy in experimental trials by non-immunological parameters
458 has used semiquantitative scoring systems based in number of pulmonary lobes affected
459 and size of lesions in lung and pulmonary LN, as well as bacterial load in LN in cattle
460 (37), in rabbits (20), and in macaques (23). A drawback of these scoring systems is that
461 the intrapulmonary extension of lesions to one or more lobes may be strongly
462 influenced by the inoculation procedure, and consequently, this may also influence the
463 extension to lymph nodes (which depends of the drainage of the lobes affected). A clear
464 evidence of this is in our study the direction of the inoculum to the right lung by
465 inoculation of the goats in the right decubitus position. To avoid this drawback and
466 increase the usefulness of the pathological assessment, in our study we have attempted

467 to express severity of lung lesions in a quantitative way, to allow better comparisons
468 between treatment groups and different experiments. The use of high-resolution 64-slice
469 Multi-Detector Computed Tomography (MDCT) can resolve the whole burden of lung
470 lesions to a volume, and the ratio of affected lung can be calculated. Additionally we
471 made an effort to compare results obtained with MDCT with a conventional visual
472 inspection of sliced lung, photography and calculation of area of lesions in each picture
473 by image analysis. If sections of lung are similar in thickness, an approximate volume
474 of lesions can be obtained by adding volumes of lesions in each slice. This is a time-
475 consuming task, although it also provides with an approximate total volume of lesion
476 per lung. We have shown that results of visual inspection had a strong correlation with
477 those obtained by MDCT, although in general were lower. Interestingly, MDCT seems
478 to have the capacity to detect small changes in density patterns due to inflammatory
479 reactions around the granuloma that may be not visible by direct macroscopic
480 observation. This, together with error introduced by the use for calculation of the same
481 thickness for all lung slices, could explain the slightly higher but homogenous animal-
482 to-animal volume values obtained by using MDCT in respect to direct observation
483 measures. Therefore, the MDCT can be a far more precise method, in comparison to the
484 usually applied pathology scoring systems, to assess the severity of lesions or their
485 reduction in future vaccine efficacy assays. A similar approach to the measurement of
486 lung lesions was followed recently by Sharpe et al. in macaques (34). These authors
487 measured the total volume of lung lesion in relation to the whole lung volume after
488 fixation by immersion in formalin by using magnetic resonance imaging (MRI)
489 stereology. They concluded that the ratio of lung lesion to whole volume was superior
490 to thoracic radiography or pathology scores for measuring disease burden. Also, in their

491 aerosol model of infection, the total volume of lesions accurately reflected differences
492 in challenge dose in different groups.

493 Methodologically, irrespective of whether MRI or MDCT technologies are used, it is
494 worth stressing the importance of insufflation of lung with formalin to distend the lung
495 to approximately the same volume as they would have in the pulmonary cavity. This
496 renders the ratio of lesion volume to total lung volume comparable between different
497 experiments and research groups. The use of this ratio corrects also for slight
498 differences that could exist in size of the animals and of the lungs, even in age matched
499 animals. We believe that this very precise quantitative data set offers the possibility of a
500 better assessment of vaccine efficacy in TB studies. The same conclusion has been
501 drawn by Sharpe et al. (34), who stressed the benefits of MRI stereology as an accurate
502 and quantifiable assessment, easy to standardize and comparable between laboratories,
503 suggesting that it will be an essential component of pathology assessment in vaccine
504 efficacy studies.

505 Our experimental model may be useful for assessing the performance of diagnosing
506 techniques in caprine TB. The infection was detected satisfactorily at 12 wpi with
507 SICCT test, the official ante-mortem diagnostic tests currently used for bovine TB
508 eradication campaigns, and all infected goats were also positive to the standard IFN- γ
509 assay from 4 wpi, confirming the usefulness of these techniques also for diagnose of
510 caprine TB as described previously by others (19, 22).

511 Intriguingly, the kinetics of cell-mediated immune responses to infection with *M.*
512 *caprae*, measured as anamnestic IFN- γ secretion, was slightly different to described
513 previously in the calf model. In goats, for all antigens used the levels of specific IFN- γ
514 were unappreciable until 4 wpi while experimental infections in cattle with similar

515 mycobacterial dose usually showed a significant specific IFN- γ response at 2 wpi,
516 especially in samples stimulated with PPD-B (6, 37, 39). Nevertheless, the appearance
517 of detectable levels of IFN- γ a week later has been also reported in cattle infected with a
518 low dose of *M. bovis* (13). Unexpectedly, a decrease of IFN- γ responses seemed to
519 occur in infected goats at 10 wpi, whereas in a long-term cattle infection these responses
520 were maintained in their intensity for at least 20 weeks (6). This phenomenon, if
521 confirmed in further long-term studies, could correlate to the fast progression of
522 infection in our goat model as deduced from the extent of lesions observed, coincident
523 with a decline of activity of effector IFN- γ producing cells.

524 Peptide cocktails ESAT-6/CFP-10 and Rv3615c are being considered as new DIVA
525 reagents for use in cattle (36, 38). The usefulness of ESAT-6/CFP-10 has been
526 successfully demonstrated in the field, showing high sensitivity and specificity in
527 comparison to tuberculin in cattle that have been naturally infected with *M. bovis* (8)
528 and more recently in goats infected naturally with *M. caprae* (2). Interestingly, the
529 sensitivity obtained in our study for the two peptide cocktails (88 %) would increase to
530 91 % if combining the results obtained for the two cocktails, the same theoretic
531 sensitivity that was reported previously for cattle infected with *M. bovis* (36). Moreover,
532 the IFN- γ response to ESAT-6/CFP-10 but not to Rv3615c correlates positively with the
533 bacterial burden in LN, although an even higher correlation has been described
534 previously for IFN- γ responses to ESAT-6 and bacterial burden in *M. bovis*-infected
535 cattle (24). These findings are consistent with the concept that bacterial load in infected
536 tissues is proportional to host IFN- γ responses against antigens secreted by active
537 growing mycobacteria such ESAT-6 and CFP-10 (27), but these responses get lower at
538 the end of the experiment, so the correlation should be considered at each stage of the
539 disease. The capacity of ESAT-6/CFP-10 to predict the disease status, the increment of

540 sensitivity when the two peptide cocktails are used and their DIVA capability in animals
541 vaccinated with *M. bovis* bacillus Calmette-Guérin (BCG), could make them a useful
542 tool for vaccine trials to distinguish vaccinated-protected and infected animals.

543 Serology is another important tool for assessing infection or exposure to mycobacteria
544 and could be another useful biomarker to determine disease status; although it is not yet
545 clear whether antibody responses play a role in controlling TB. In recent years
546 serological tests have been assessed in trials in cattle and wild mammals, and most of
547 them have concluded that MPB70, and especially MPB83 are serodominant, being
548 recognised in early stages of infection (24, 25, 41). The serodominance of MPB83
549 described in other species is also consistent with our findings, as most of the goats
550 (10/11) were seropositive at least at one time point before the boost effect of the SICCT
551 test (12 wpi). Moreover, two weeks after boosting with PPDs, all animals reacted
552 strongly increasing dramatically the sensitivity of the ELISA as has been shown in
553 cattle (39). In contrast, the IgG-ELISA with PPD-B as antigen failed to detect any
554 positive animals before the boost effect of the SICCT test, after which, antibody
555 responses were positive, although very weak in comparison to MPB83 IgG-ELISA.

556 This result suggests that serology to MPB83 could be a useful tool to detect infected
557 animals in farms, as well as to monitor the progression of the infection in experimental
558 trials.

559 Summarizing, our goat TB infection model may be useful in TB research for the
560 understanding of pathogenesis of TB in goats and for testing of therapeutic and
561 immunoprophylactic treatments and of new diagnostic tools. The use of MDCT for
562 quantification of volume of lesions and their ratio to the whole lung volume may serve
563 for a quantitative evaluation of pathology in vaccination trials. Research in human TB

564 can also benefits from large animal models different from non-human primates, due to
565 the similarities with the human disease, and its lower cost (39, 42).

566 Also, reports of caprine TB have increased in recent years, and studies are needed to
567 validate whether control measures used in cattle can be applied to goat herds.
568 Vaccination based on BCG has been developed for use in wild species that act as
569 reservoirs of *M. bovis* and could represent a control tool for caprine TB and to limit its
570 transmission to cattle and humans.

571

572 **COMPETING INTERESTS**

573 The authors declare that they have no competing interests.

574

575 **AUTHORS CONTRIBUTIONS**

576 BPV and MD conceived and designed the experiments, analyzed the data and drafted
577 the manuscript. Conduction of experiments: MD, SL-S, MN and DS performed the
578 necropsy and pathological records; BPV and MM performed the immunological and
579 bacteriological assays; DS performed the skin tests; NR and ME performed the MDCT
580 and analyzed resulting data. MHV, BVR and P-JC contributed substantively in
581 scientific discussion of the results. All authors have read and approved the final
582 manuscript.

583

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760 **FIGURES**

761 **Figure 1:** Kinetics of IFN- γ responses in infected goats. The release of IFN- γ was
762 measured by ELISA after in vitro stimulation of whole blood with different antigens.
763 Results are expressed as mean ΔOD_{450} responses with 95 % CI. Dashed horizontal line,
764 cut-off point for positivity. (A) PPD-B (closed circles) and PPD-A (open circles). (**) p
765 < 0.005 , significant differences determined by non-parametric Mann-Whitney-
766 Wilcoxon test. (B) PPD-B (closed circles), ESAT-6/CFP-10 (open circles) and Rv3615c
767 (open squares). (*) $p < 0.05$, significant differences determined by non-parametric
768 Friedman test with post-hoc Mann-Whitney-Wilcoxon test.

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770 **Figure 2:** Humoral responses to MBP83 and PPD-B at 14 weeks post-infection. OD_{450}
771 absorbance of total IgG to MPB83 (circles) and PPD-B (triangles) from individual goats
772 infected or not with *M. caprae*. Results are expressed as ΔOD_{450} (OD_{450} of antigen-
773 stimulated wells minus OD_{450} of non-stimulated wells). Filled symbols, infected
774 animals; open symbols, non-infected control animals; dashed horizontal line, cut-off
775 point for positivity.

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777 **Figure 3:** Gross pathology analysis of a goat case. (A) MDTC-3D representation of the
778 whole lung after excluding air and TAC's table (H, head; F, foot, L, left, R, right). The
779 total volume of lung is calculated in cm^3 and is showed in red-dashed box at the bottom.
780 (B) Volume rendering image of the lung showing different tissue densities
781 discriminated by colour: water in grey, air in black and calcium in white. The volume of
782 affected lung is also showed. (C) Pathological areas identified by segmentation in axial
783 (see at the top; A, anterior; P, posterior), coronal and sagittal planes (see at the bottom).
784 (D) Formalin fixed, 5 mm-sections of left diaphragmatic lobe which showing a large

785 cavitary lesion. Cranial to caudal sections are represented as bottom-up and left-right in
786 the picture. Bar = 3 cm.

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788 **Figure 4:** Correlation between volumes of lesions in lungs measured by two
789 quantitative methods. Visible lesions in lungs calculated by Multi-Detector Computed
790 Tomography (MDCT) and by image analysis of photographs of lung sections (direct
791 observation) in infected goats (n = 11). Statistical analysis conducted with non-
792 parametric Spearman rank test.

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815 **TABLES**

816 **Table 1:** Detection of antibodies to MPB83 in plasma of goats infected or not with *M.*
 817 *caprae*. ELISA results at different time points post-infection (Week 0 represents day of
 818 infection) are expressed according to the cut-off described above as: +, positive or -,
 819 negative. Goats were subjected to SICCT test 13 days before the blood sampling at 14
 820 wpi.

Group	Goat	Weeks post-infection								
		0	2	4	6	8	10	12	14	
Infected	572	-	-	-	-	-	-	-	-	+
	605	-	-	-	+	+	+	-	+	+
	571	-	-	-	-	+	+	-	+	+
	567	-	-	-	-	-	-	+	+	+
	607	-	-	-	+	+	-	-	+	+
	563	-	-	-	-	+	+	+	+	+
	597	-	-	-	+	+	+	+	+	+
	568	-	+	-	-	-	-	+	+	+
	562	-	-	-	-	-	+	+	+	+
	565	-	-	-	+	+	+	+	+	+
577	-	-	+	+	+	+	+	+	+	
Non-infected	162	-	-	-	-	-	-	-	-	-
	187	-	-	-	-	-	-	-	-	-

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 824 **Table 2:** Quantification of gross lesions in lungs in goats infected with *M. caprae*. Total
 825 volume of lesions and percentage of affected lungs were calculated using 64-slice
 826 Multi-Detector Computed Tomography (MDCT) and were compared to direct visual
 827 quantification by slicing, photography, and image analysis.

Goat	64-MDCT		Direct visual observation	
	Volume of lesions in lung (cm ³)	Volume ratio lesion/lung (%)	Volume of lesions in lung (cm ³)	No. of lobes with lesions
572	26.8	1.8	5.4	1/7
605	97.1	8.3	39.5	2/7
571	35.5	3.8	17.2	2/7
567	100	10.6	108.9	6/7
607	59.3	5	25	4/7
563	22.3	1.9	3.2	1/7
597	24.1	2.5	9.8	3/7
568	66.3	6.5	58.3	6/7
562	182	14.3	104.8	6/7
565	31	1.1	9.3	4/7
577	18.8	2.4	0.9	2/7
Mean (95% C.I.)	60.3 (30.8-89.8)	5.3 (2.8-7.8)	34.7 (11.4-58.1)	3.4/7 (2.3-4.4/7)

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829 **Table 3:** Pathological findings and bacterial load in pulmonary lymph nodes (LN) of infected goats.

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Goat	Bacterial load (Log ₁₀ cfu)								Volume of lesions (cm ³)			
	crm ^a LN		tb ^b LN		cdm ^c LN		Total		crm LN	tb LN	cdmLN	Total
	g ^d	LN ^e	g	LN	g	LN	g	LN				
572	-	-	2.4	3.2	3	4.1	3.1	4.2	0	0.3	5.8	6.1
605	3.2	3.8	2.1	2.7	3.1	4.4	3.5	4.5	3.6	0.003	50.1	53.7
571	2.5	3.2	3	4	3.3	4.2	3.5	4.4	0.3	1.7	4.7	6.8
567	-	-	2.9	4.3	4	5.3	4	5.3	0	4.6	15.7	20.2
607	-	-	2.8	4	2.5	3.6	3	4.1	0.05	0	2.5	2.5
563	-	-	-	-	2.8	3.8	2.8	3.8	0	0.001	1.3	1.3
597	3.3	3.6	2.4	3.3	3.6	4.6	3.8	4.6	0.07	0.9	2.8	3.8
568	3.3	3.9	2.6	3.5	3	4.1	3.5	4.4	0.08	0.6	3.4	4.1
562	2.9	3.4	2.5	3.4	3.4	4.8	3.6	4.8	0.2	1.2	57.5	58.9
565	3.4	4.3	3.2	4.2	3.3	4.5	3.8	4.8	1.2	6.8	8	16
577	3	3.3	2.4	3.2	4.1	5.2	4.1	5.2	0.1	0.1	4.2	4.5
Mean	2	2.3	2.4	3.3	3.3	4.4	3.5	4.6	0.5	1.5	14.2	16.2
(95% C.I.)	(1-2.9)	(1.2-3.4)	(1.9-2.9)	(2.6-4)	(3-3.6)	(4.1-4.7)	(3.3-3.8)	(4.3-4.8)	(0-1.2)	(0.2-2.8)	(2.3-26)	(3.9-28.4)

^acrm: cranial mediastinal^btb: tracheobronchial^ccdm: caudal mediastinal^dbacterial count per gram of tissue^ebacterial count in the whole lymph node

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